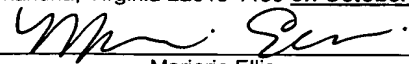
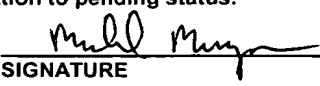
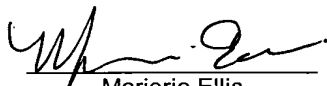


FORM PTO-1390 <b>TRANSMITTAL LETTER TO THE UNITED STATES</b>		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER PAT-00356
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. IF KNOWN (SEE 37 CFR 15) <b>10/511080</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/EP03/04392</b>	INTERNATIONAL FILING DATE <b>(28. 04.2003) 28. April 2003</b>	PRIORITY DATE CLAIMED <b>(08.05.2002) 08. May 2002</b>	
TITLE OF INVENTION: <b>METHOD FOR OVERPAINTING CHROMOPHORE AND/OR EFFECT-PRODUCING MULTI-LAYER PAINTS</b>			
APPLICATION(S) FOR DO/EO/US: <b>Andreas RÜTHER and Anja LOEWE</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.			
3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include items (5), (6), (9) and (21) indicated below.			
4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).			
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).			
b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau.			
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).			
6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).			
a. <input checked="" type="checkbox"/> Is attached hereto.			
b. <input type="checkbox"/> Has been previously submitted under 35 U.S.C. 154(d)(4).			
7. <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 37(c)(3))			
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).			
b. <input type="checkbox"/> have been communicated by the International Bureau.			
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.			
d. <input checked="" type="checkbox"/> have not been made and will not be made.			
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10. <input checked="" type="checkbox"/> An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11. to 20. below concern document(s) or information included:			
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.			
14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.			
15. <input type="checkbox"/> A substitute specification.			
16. <input type="checkbox"/> A change of power of attorney and/or address letter.			
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.			
18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).			
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			
20. <input checked="" type="checkbox"/> Other items or information: <b>A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document</b>			
I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. <b>ER 193 007 063 US</b> addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, Virginia 22313-1450 on <b>October 12, 2004</b> .			
 Marjorie Ellis			

U.S. APPLICATION NO. (if known see 37 C.F.R. 1.50) <b>10/511088</b>	INTERNATIONAL APPLICATION NO. <b>PCT/EP03/04392</b>	ATTORNEY'S DOCKET NUMBER <b>PAT-00356</b>					
21 <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... <b>\$1,110.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... <b>\$ 950.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO..... <b>\$ 790.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... <b>\$ 750.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$ 100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left; padding: 2px;">CALCULATIONS   PTO USE ONLY</th> </tr> <tr> <td style="width: 80%; height: 150px;"></td> <td style="width: 20%;"></td> </tr> </table>		CALCULATIONS   PTO USE ONLY			
CALCULATIONS   PTO USE ONLY							
Surcharge of \$130.00 for furnishing the oath or declaration later <input type="checkbox"/> 20 <input type="checkbox"/> 30 than months from the earliest claimed priority date (37 CFR 1.492(e)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"></td> <td style="width: 20%; text-align: right;">\$</td> </tr> </table>			\$		
	\$						
Claims	Number Filed	Number Extra	Rate				
Total Claims	23 - 20 =	03	X \$ 18.00				
Independent claims	01 - 03 =	0	X \$ 88.00				
Multiple dependent claims(s) (if applicable)			+ \$300.00				
<b>TOTAL OF ABOVE CALCULATION =</b>		<b>\$1,004.00</b>					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"></td> <td style="width: 20%; text-align: right;">\$</td> </tr> </table>			\$		
	\$						
<b>SUBTOTAL =</b>		<b>\$1,004.00</b>					
Processing fee of \$130.00 for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"></td> <td style="width: 20%; text-align: right;">\$</td> </tr> </table>			\$		
	\$						
<b>TOTAL NATIONAL FEE =</b>		<b>\$1,004.00</b>					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"></td> <td style="width: 20%; text-align: right;">\$</td> </tr> </table>			\$		
	\$						
<b>TOTAL FEES ENCLOSED =</b>		<b>\$1,004.00</b>					
		Amount to be refunded:	\$				
		Charged	\$1,004.00				
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>02-1201</u> in the amount of <u>\$1,004.00</u> to cover the above fees A triplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-1201</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card</b> <b>Information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO: <b>BASF CORPORATION</b> Patent Department 26701 Telegraph Road Southfield, Michigan 48034-2442 (248) 948-2355 Customer No. 26922							
		 SIGNATURE					
		<u>Michael F. Morgan</u> Name <u>42,906</u> REGISTRATION NUMBER					

PATENT

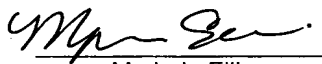
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Andreas RÜTHER Anja LOEWE	Practitioner's Docket No. PAT-00356
Serial No.: This application is a National Phase application of PCT/EP03/04392 filed 28 April 2003.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
For: Method for Overpainting Chromophore and/or Effect-Producing Multi-Layer Paints	<p>I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. <b>ER 193 007 063 US</b> addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below.</p> <p>10/12/2004 Date</p> <p> Marjorie Ellis</p>

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X	Information Disclosure Statement
X	From PTO-1449
X	Cited References
X	International Search Report
X	Germany International Search Report


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<input checked="" type="checkbox"/> International Preliminary Examination Report
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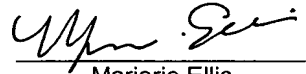
☒ English Application

Specification on	11	pages;	claims on	04	pages;
the abstract on	01	page(s);	drawings on		page(s).

10/511080  
D104 Rec'd PCT/PTO 12 OCT 2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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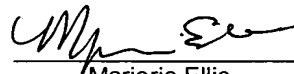
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<input checked="" type="checkbox"/> Patent Application Data Sheet
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10/511080

DT04 Rec'd PCT/PTO PATENT  
12 OCT 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Andreas RÜTHER Anja LOEWE	Practitioner's Docket No. PAT-00356
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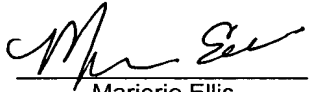
☒ German Text Application

Specification on	16	pages;	claims on	06	pages;
the abstract on	01	page(s);	drawings on		page(s).

10/511080

PATENT  
DT04 Rec'd PCT/PTO 1 2 OCT 2004

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<input checked="" type="checkbox"/> Copy of cover sheet from the PCT Published Application
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10/511080  
DT04 Rec'd PCT/PTO 12 OCT 2004  
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☒ Copy of Cover page of the Priority Document

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<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney
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